



PERSONAL FINANCIAL STATEMENT

SECTION 1

AMOUNT REQUESTED: \$ _____

LOAN PURPOSE: _____

STATEMENT OF CONDITION AS OF _____, 20____ (Date)

| | |
|---|---|
| Name: _____ Social Security Number: _____ Date of Birth: _____ Residence Address: _____ No. of Yrs.: _____ City/State/ZIP: _____ Residence Phone: _____ Employer Name: _____ No. of Yrs.: _____ Employer Address: _____ Business Phone: _____ E-mail address: _____ | Name of Spouse or Registered Partner: _____ Social Security Number: _____ Date of Birth: _____ Residence Address: _____ No. of Yrs.: _____ City/State/ZIP: _____ Residence Phone: _____ Employer Name: _____ No. of Yrs.: _____ Employer Address: _____ Business Phone: _____ E-mail address: _____ |
|---|---|

SECTION 2

| ASSETS | <i>(Omit cents)</i> | LIABILITIES | <i>(Omit cents)</i> |
|--|---------------------|---|---------------------|
| Cash on Hand and in Banks: (List Institutions) | \$ | Accounts Payable (List Accounts including Credit Cards): | |
| IRA or Other Retirement Accounts | \$ | | |
| Accounts and Notes Receivable (Complete Section 4) | \$ | Notes Payable to Banks and Others (Describe in Section 11) | \$ |
| Life Insurance - Cash Surrender Value Only (Complete Section 5) | \$ | Loan on Life Insurance | \$ |
| Stocks and Bonds and Other Securities (Complete Section 6) | \$ | Unpaid Taxes (Describe in Section 12) | \$ |
| Real Estate (Complete Section 7) | \$ | Mortgages on Real Estate (Describe in Section 7) | \$ |
| Automobile - Present Value | \$ | Installment Account (Auto) Mo. Payments \$ _____ | \$ |
| Other Personal Property (Complete Section 8) | \$ | Installment Account (Other) Mo. Payments \$ _____ | \$ |
| Partnerships/ LLC's (Complete Section 9) | \$ | Other Liabilities (Describe in Section 13) | \$ |
| Other Assets (Complete Section 10) | \$ | TOTAL LIABILITIES | \$ |
| TOTAL ASSETS | \$ | Total Assets less Total Liabilities = NET WORTH | \$ |



| SECTION 3 | | | | | | | | |
|---|----------------------|------------------------|---------------------------------|----------------------------------|---------------|--------|---------------|------------------------|
| SOURCE OF INCOME | | | | CONTINGENT LIABILITIES | | | | |
| Salary (Annual) | | \$ | | As Endorser or Co-Maker | | | | \$ |
| Net Investment Income | | \$ | | Legal Claims and Judgments | | | | \$ |
| Real Estate Income | | \$ | | Provision for Federal Income Tax | | | | \$ |
| Other Income (Describe below)* | | \$ | | Other Special Debt | | | | \$ |
| Description of Other Income in Section 3. Please describe any recurring income not reflected on previous tax returns. _____ | | | | | | | | |
| <small>*Alimony or child support payments need not be disclosed in "Other Income" unless it is desired to have such payments counted toward total income.</small> | | | | | | | | |
| SECTION 4 ACCOUNTS AND NOTES RECEIVABLE | | | | | | | | |
| Name of Debtor | Address | Collateral Description | Lien Position | Equity Value | Payment Terms | Amount | Maturity Date | Current Unpaid Balance |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| SECTION 5 CASH SURRENDER LIFE INSURANCE HELD (Give face amount and cash surrender value of policies- name of insurance and beneficiaries) | | | | | | | | |
| | | | | | | | | |
| SECTION 6 STOCKS AND BONDS (use attachments if necessary. Each attachment must be identified as a part of this statement and signed.) | | | | | | | | |
| Number of Shares | Number of Securities | Cost | Market Value Quotation Exchange | Date of Quotation Exchange | Total Value | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| SECTION 7 REAL ESTATE (List each parcel separately. Use attachments if necessary. Each attachment must be identified as a part of this statement and signed.) | | | | | | | | |
| | Property A | Property B | Property C | | | | | |
| Type of Property (SFR, etc.) | | | | | | | | |
| Address of Property | | | | | | | | |
| Name of Property Owner | | | | | | | | |
| % of Ownership | | | | | | | | |
| Date Purchased | | | | | | | | |
| Original Cost | | | | | | | | |
| Current Market Value | | | | | | | | |
| Name of Lender/Address | | | | | | | | |
| Loan Number | | | | | | | | |
| Current Loan Balance | | | | | | | | |
| Interest Rate | | | | | | | | |
| Loan Maturity Date | | | | | | | | |
| Monthly Rent Income | | | | | | | | |
| Monthly Payment (Princ. & Int.) | | | | | | | | |
| Status of Loan (Current or Past Due) | | | | | | | | |



SECTION 8 OTHER PERSONAL PROPERTY (Describe, and if any is pledged as security, state name and address of lien holder, amount of lien, terms of payment, and if any delinquent, describe delinquency)

SECTION 9 CORPORATIONS, PARTNERSHIPS and LLCs

| Name | Date of Initial Investment | Percent Owned | Cost | Current Market Value | Obligations Due | Due Date |
|------|----------------------------|---------------|------|----------------------|-----------------|----------|
| | | % | | | | |
| | | % | | | | |
| | | % | | | | |
| | | % | | | | |

SECTION 10 OTHER ASSETS (Describe, and if any is pledged as security, state name and address of lien holder, amount of lien, terms of payment, and if any delinquent, describe delinquency)

SECTION 11 NOTES PAYABLE TO BANK AND OTHERS (Use attachments if necessary. Each attachment must be identified as a part of this statement and signed.)

| Name and Address of Note Holder(s) | Original Balance | Current Balance | Payment Amount | Frequency (monthly, etc.) | How Secured or Endorsed Type of Collateral |
|------------------------------------|------------------|-----------------|----------------|---------------------------|--|
| | | | | | |
| | | | | | |
| | | | | | |

SECTION 12 UNPAID TAXES (Describe in detail as to type, to whom payable, when due, amount, and to what property, if any, a tax lien attaches.)

SECTION 13 OTHER LIABILITIES (Describe in detail)

SECTION 14

Please certify and sign below

Signature Date

Signature of borrowing spouse (If you are applying for credit jointly) Date

Borrowers' Certification and Authorization

CERTIFICATION: The Undersigned certify the following: 1. I/We have applied for a commercial loan through the Region 10 Business Loan Fund. In applying for the loan, I/We completed a loan application containing various information on the purpose of the loan, the amount and source of the down payment, employment and income information, and the assets and liabilities. I/We certify that all of the information is true and complete. I/We made no misrepresentations in the loan application or other documents, nor did I/We omit any pertinent information. 2. I/We understand and agreed that the Region 10 Business Loan Fund reserves the right to change the loan review processes to a full documentation program. This may include verifying the information provided on the application with the employer and/or the financial institution. 3. I/We fully understand that it is a Federal crime punishable by fine or imprisonment, or both, to knowingly make any false statements when applying for this loan, as applicable under the provisions of Title 18, United States Code, Section 1014. 4. I/We agree to for all fees incurred during the loan process including, but not limited to, fees for lender, appraisal, title insurance, land surveys, environmental surveys; along with all agree to origination fees payable to the Region 10 Business Loan Fund for the service of placing the loan.

AUTHORIZATION TO OBTAIN INFORMATION: To Whom It May Concern: 1. I/We have applied for a commercial loan through the Region 10 Business Loan Fund. As part of the application process, the Region 10 Business Loan Fund, may verify information contained in my/our loan application and in other documents required in connection with the loan, either before the loan is closed or as part of its quality control program. 2. I/We authorize you to provide to the Region 10 Business Loan Fund and to any lender with whom the Region 10 Business Loan Fund may collaborate on this loan, any and all information and documentation that they request. Such information includes, but is not limited to, employment history and income; bank, money market and similar account balances; credit history; credit reports; and copies of income tax returns. 3. The Region 10 Business Loan Fund or any lender with whom the Region 10 Business Loan Fund may collaborate on this loan may address this authorization to any party named in the loan application. 4. A copy of this authorization may be accepted as an original.

Borrower Signature

Signature

Date: _____20____

Date: _____20____